

03-30-01

## TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is a patent application of MASSOUD SABOURIAN  
For: A METHOD OF FINANCIAL INVESTMENT IN STOCKS AND SHARES OR OTHER FINANCIAL ENTITIES

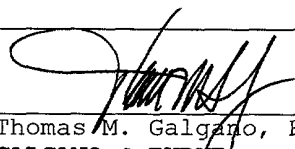
## Enclosed Are:

- ☒ 30 page specification  
☒ with attached signed Declaration/Power of Attorney  
☐ with attached unsigned Declaration/Power of Attorney  
☒ 4 sheet(s) of formal drawings(s) (FIGS. 1-4)  
☒ sheets(s) of informal drawings(s) FIGS.  
☒ an Assignment Recordation Form Cover Sheet and Assignment of the invention to: Philex Plc.  
☒ Priority is claimed under 35 USC 119 for the following application(s):  
U.S. Patent Application Serial No. 09/561,073  
☐ a certified copy of the aforesaid application(s) with Claim of Priority Cover Letter is enclosed.  
☒ a certified copy of the aforesaid application(s) will be forwarded in due course.  
☒ Preliminary Amendment  
☐ Information Disclosure Statement with PTO Form 1449 and copies of \_\_\_ references.

## CLAIMS AS FILED

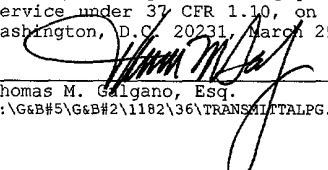
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS			
	22 - 20	2 X \$ 9.00	\$ 36.00	\$ 36.00
	INDEPENDENT CLAIMS			
	8 - 3	5 X \$ 40.00	\$200.00	\$200.00
BASIC FEE- \$710				
REDUCED FEE-\$355 <input checked="" type="checkbox"/> Independent Inventor <input type="checkbox"/> Small Business Concern				\$355.00
TOTAL FILING FEE				\$591.00

- ☒ Small Entity Declaration of ☐ Independent Inventor ☒ Small Business Concern  
☒ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, except for the Base Issue Fee, or credit any overpayment to Deposit Account No. 07-0130. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$631.00 is enclosed. This check covers:  
☐ the filing fee  
☒ the filing fee and the Assignment recordal fee.  
☐ Other: \_\_\_\_\_

  
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" to Addressee service under 37 CFR 1.10, on the date indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, March 29, 2001.

  
\_\_\_\_\_, March 29, 2001  
Thomas M. Galgano, Esq.

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